SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Urbanski Raymond W.	2. Date of Event Requiring Stater (Month/Day/Yea 10/14/2015	ment	3. Issuer Name and Ticker or Trading Symbol <u>MANNKIND CORP</u> [MNKD]					
(Last) (First) (Middle) 28903 NORTH AVENUE PAINE			4. Relationship of Reporting Perso (Check all applicable) Director	on(s) to Issue 10% Owne	(Mo	Amendment, Da nth/Day/Year)	ate of Original Filed	
	_		X Officer (give title below)	Other (spe below)		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street)			СМО		2	Form filed by	y One Reporting Person	
VALENCIA CA 91355	_					Form filed by Reporting P	y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, \$.01 par value			16,100 ⁽¹⁾	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration Da (Month/Day/)	ate	3. Title and Amount of Securi Underlying Derivative Securit		4. Conversion or Exercise Price of	Form:	(Instr. 5)	
	Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Employee Stock Option (right to buy)	08/27/2016 ⁽²⁾	08/10/2025	Common Stock	72,300	3.91	D		

Explanation of Responses:

1. Acquired pursuant to a Restricted Stock Award: 4-year vesting schedule: vest 25% on 8/27/16 and 25% annually thereafter; being fully vested 8/27/19.

2. 4-year vesting schedule with 1/4th of shares vesting on the first anniversary of the vesting determination date and thereafter 1/48th monthly.

Remarks:

<u>/s/ Raymond W. Urbanski MD</u>, <u>10</u> PhD

<u>10/14/2015</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.